Attorney Docket No.: 10325.200-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Otto et al.

Confirmation No: 5149

Serial No.: 10/713,182

Group Art Unit: 1633

Filed: November 14, 2003

Examiner: To be assigned

For: Ethanol Production By Simultaneous Saccharification And Fermentation (Ssf)

INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97(b)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.56, 1.97 and 1.98, Applicants submit herewith references which may be material to the patentability of this application and with respect to which there may be a duty to disclose. While the references may be material under 37 C.F.R. 1.56, it is not intended to constitute an admission that the references are prior art. The filing of this IDS shall not be construed as a representation that no other material references than those listed exist or that a search has been conducted.

The references are listed in Form PTO/SB/08A, which is in accordance with the requirements of M.P.E.P. 609. A copy of the references is enclosed.

This IDS is being filed within three months of the filing date of a national application or date of entry into the national stage of an international application, or before the mailing date of a first Office action on the merits, or before the mailing date of a first Office action after the filing of a request for continued examination. Therefore, no fee is due.

Respectfully submitted,

Date: August 6, 2004

Elias J. Lambiris, Reg. No. 33,728 Novozymes North America, Inc. 500 Fifth Avenue, Suite 1600

New York, NY 10110

(212)840-0097



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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached correspondence comprising:

- 1. Information Disclosure Statement
- 2. Form PTO/SB/08A
- 3. Copy of References

is being deposited with the United States Postal Service as first class mail in an envelope addressed to the address indicated above on August 6, 2004.

Lourdes Ayala

(name of person mailing paper)

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PTO/SB/08A (10-01)

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	for form 1449A/PTO			Complete if Known			
INFORMATION DISCLOSURE				Application Number	10/713,182		
				Filing Date	November 14, 2003		
STATEMENT BY APPLICANT				First Named Inventor	Otto et al.		
(use as many sheets as necessary)				Art Unit	1633		
(200 202.) 2.10010 00 1100001,)			,,	Examiner Name	To be assigned		
Sheet	1	of	2	Attorney Docket Number	10325.200-US		

		U.	S PATENT DOCU	MENTS	
Examiner Initials	Cite No. 1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US 2002/0006647	01-17-2002	Veit et al	
		US 2002/0187528	12-12-2002	Veit et al	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. 1	Foreign Patent Document Country Code 3 – Number 4 – Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (10-01)

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Attorney Docket Number

2

Sheet

Examiner Signature

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
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